

TURQUOISE CAPITAL INVESTMENT FUND PCC



PROVIDENTIA

FUND MANAGERS

— *The Art of Managing your Wealth* —

SUBSCRIPTION AGREEMENT FORM

**SUBSCRIPTION AGREEMENT FORM –
TURQUOISE CAPITAL INVESTMENT FUND PCC**

To: *The Board of Directors*
TURQUOISE CAPITAL INVESTMENT FUND PCC
C/O JurisTax Ltd
Level 3, Ebene House, 33 Hotel Avenue,
72201, Cybercity Ebene, Mauritius

Tel No: (+230) 465 5526

Email: turquoiseadmin@juristax.com

IMPORTANT INFORMATION

1. Please read carefully the most recently updated prospectus and cell supplements. All information relative to TURQUOISE CAPITAL INVESTMENT FUND PCC (“Company”) can be downloaded from the CIS Manager’s website: www.providentiamanagers.com.
2. An investment in the Company involves risk and past performance is no guarantee of future returns.
3. Please provide completed and signed subscription agreement along with certified true copy of customer due diligence documents in Annex 3.
4. Incomplete information may delay the process.
5. Please use BLOCK LETTERS to complete this form.
6. No more than two joint applications will be accepted per application.

How to complete the agreement:

- Section 1(A) INVESTOR DETAILS (INDIVIDUAL APPLICANT)
- Section 1(B) INVESTOR DETAILS (JOINT APPLICANT)
- Section 1(C) INVESTOR DETAILS (NON-INDIVIDUAL APPLICANT)
- Section 2 INVESTMENT DETAILS
- Section 3 BANK DETAILS FOR DISTRIBUTION AND REDEMPTION
- Section 4 TAX RESIDENCY SELF CERTIFICATION (INDIVIDUAL & JOINT)
- Section 5 DECLARATION
- Annex 1 TURQUOISE BANK DETAILS
- Annex 2 SCHEDULE OF CHARGES
- Annex 3 LIST OF REQUIRED DOCUMENTS

SECTION 1 (A) - INVESTOR DETAILS (INDIVIDUAL APPLICANT)

A. PERSONAL DETAILS			
Family Name			
Other Names			
Maiden Name (If applicable)			
Nationality			
Date of Birth (DD-MMM-YYYY)			
Place of Birth			
Sex	Male	Female	Other

B. CONTACT DETAILS	
Permanent Residential Address	
Mobile Number	
Email address	

C. EMPLOYMENT DETAILS					
Employment Status	Employed	Self-Employed	Retired	Other	
Employer Name					
Nature of Employer	Public	Private			
Occupation					
Length of Service					
Gross Annual Income (USD equivalent)	<50K	50-100K	100-250K	250-500K	>500K

D. FINANCIAL INFORMATION					
Source of Funds	Salary	Savings	Business	Loan	Inheritance
	Other				

E. FATCA STATUS	
	US Person NON-US Person
	For U.S Person you will be required to fill in a FATCA certification

F. PEP STATUS		
Position in Public Bodies (if any)	Position	Held by: Self/ Immediate Family/ Close Associate
	Body	Country
Date	Appointment	Resignation

SECTION 1 (B) - INVESTOR DETAILS (JOINT APPLICANT)

A. PERSONAL DETAILS			
Family Name			
Other Names			
Maiden Name (If applicable)			
Nationality			
Date of Birth (DD-MMM-YYYY)			
Place of Birth			
Sex	Male	Female	Other

B. CONTACT DETAILS	
Permanent Residential Address	
Mobile Number	
Email address	

C. EMPLOYMENT DETAILS					
Employment Status	Employed	Self-Employed	Retired	Other	
Employer Name					
Nature of Employer	Public	Private			
Occupation					
Length of Service					
Gross Annual Income (USD equivalent)	<50K	50-100K	100-250K	250-500K	>500K

D. FINANCIAL INFORMATION					
Source of Funds	Salary	Savings	Business	Loan	Inheritance
	Other				

E. FATCA STATUS	
	US Person NON-US Person
	For U.S Person you will be required to fill in a FATCA certification

F. PEP STATUS		
Position in Public Bodies (if any)	Position	Held by: Self/ Immediate Family/ Close Associate
	Body	Country
Date	Appointment	Resignation

SECTION 1 (C) - INVESTOR DETAILS (NON-INDIVIDUAL APPLICANT)

Please select one: Corporate Foundation Trust Other

A. ENTITY DETAILS	
Name of Entity	
Any previous name (if any)	
Type of Entity	
Date of incorporation	
Country of incorporation	
Business activity	
Targeted geographical areas of operation	
Targeted sectors	

B. CONTACT DETAILS	
Registered office address	
Phone Number	
Email address	

C. FINANCIAL INFORMATION	
Source of Funds	Business Income Dividend Loan
	Other

D. FATCA STATUS	US Person NON-US Person
	For U.S Person you will be required to fill in a FATCA certification

E. PEP STATUS		
Is/Are any of the Ultimate Beneficial Owner(s) a PEP?	Yes No	
Position in Public Bodies (if any)	Position	Held by: Self/ Immediate Family/ Close Associate
	Body	Country
Date	Appointment	Resignation

SECTION 2 - INVESTMENT DETAILS

Please select the Cell (s) you wish to invest and complete the amount and currency details.
(Minimum Investment of USD 10,000 (or equivalent in EURO or GBP or MUR) per below fund)

	Currency	Amount
Citrine ESG Cell <i>ISIN: MU0740S00003</i>		
Amethyst Conservative Cell <i>ISIN: MU0740S00011</i>		
Aventurine Alternatives Cell <i>ISIN: MU0740S00029</i>		

Your subscription amount should reach the Company's Bank Account (s) by bank transfer with details provided in Annex 1

SECTION 3 - BANK DETAILS FOR DISTRIBUTION AND REDEMPTION

Until further written notice to Turquoise (the "Company"), any distribution and redemption will be wired to the following:

<i>Beneficiary Bank</i>	
Name of Bank	
Bank Address	
SWIFT	
IBAN	
Account Name	
Account Number	

<i>Correspondent Bank</i>	
Bank Name	
SWIFT	

SECTION 4 - TAX RESIDENCY SELF CERTIFICATION (INDIVIDUAL & JOINT)

Please indicate your tax residency.	Mauritius	Other Countries
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If you ticked other countries, please provide the following information:

Country of Tax Residency	Tax Identification Number	Self	Joint

If a TIN is unavailable please provide the appropriate reason A, B or C where appropriate:

- A. The country where I am liable to pay tax does not issue TINs to its residents
- B. The investor is otherwise unable to obtain a TIN or equivalent number (Please provide reason(s))
- C. No TIN is required. (NOTE: Only select this reason if authorities of the country of tax residents entered do not require the TIN to be disclosed)

SECTION 5 - DECLARATION

1. I / We declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete.
2. I / We declare that all information provided on this form is true, correct and complete and I undertake to indemnify TURQUOISE CAPITAL INVESTMENT FUND PCC and its Officers in the event I would have made any misstatement in this certificate.
3. I/We hereby acknowledge that I/we have received and considered the most recent available Memorandum relating to the Cell and that this application is made on the terms thereof and subject to the Company's Constitution. In the event of any conflict between the contents of this Application Form and the Memorandum, the Memorandum shall prevail.
4. I / We acknowledge that the information contained in this form and information regarding the Controlling Person and the Investor may be reported to the tax authorities of the country in Mauritius and exchanged with tax authorities of another country or countries in which [I/the Controlling Person] may be tax resident pursuant to intergovernmental agreements to exchange financial account information.
5. I / We declare that the funds are not derived from, or being employed in any illegal transaction or criminal activities.
6. I / We declare that we have not been involved or subjected to a regulatory or judicial investigation or money laundering activities.
7. I/We hereby confirm that the Company, the Directors, and the Administrator are each authorised and instructed to accept and execute any instructions in respect of this application and the Shares to which it relates given by me/us by Email.
8. I/We do not have a criminal record.
9. I/We give my consent to the Company and the Administrator to process my personal data if required by law.
10. I/We will provide any information and/or relevant customer due diligence document deemed necessary by the Company and the Administrator to comply with their anti-money laundering program and related responsibilities from time to time.

Signature :

Name:

Capacity:

Date:

ANNEX 1 - TURQUOISE BANK DETAILS

TURQUOISE CAPITAL INVESTMENT FUND PCC

Beneficiary Bank	
Swift Code	
Beneficiary account number	
Beneficiary IBAN	
Beneficiary Currency	USD
Correspondant Bank	
Swift code	

Beneficiary Bank	
Swift Code	
Beneficiary account number	
Beneficiary IBAN	
Beneficiary Currency	MUR
Correspondant Bank	
Swift code	

Beneficiary Bank	
Swift Code	
Beneficiary account number	
Beneficiary IBAN	
Beneficiary Currency	EUR
Correspondant Bank	
Swift code	

Beneficiary Bank	
Swift Code	
Beneficiary account number	
Beneficiary IBAN	
Beneficiary Currency	GBP
Correspondant Bank	
Swift code	

ANNEX 2 - SCHEDULE OF CHARGES

Table 1: Total Expense Ratio for CITRINE ESG CELL

	% of AUM
Investment Management fees	1.00%
Other Fund Expenses	0.25%
Total Expense ratio	1.25%

(Entry Fee: 1.00%)

Table 2: Total Expense Ratio for AMETHYST CONSERVATIVE CELL

	% of AUM
Investment Management fees	0.30%
Other Fund Expenses	0.10%
Total Expense ratio	0.40%

(Entry Fee: NIL)

Table 3: Total Expense Ratio for AVENTURINE ALTERNATIVES CELL

	% of AUM
Investment Management fees	1.25%
Other Fund Expenses	0.25%
Total Expense ratio	1.50%

(Entry Fee: 1.00%)

ANNEX 3 - LIST OF REQUIRED DOCUMENTS

INDIVIDUAL

1. Certified true copy of passport or other acceptable identity documents such as National Identity Card or Driving License.
2. Utility Bill dated less than 3 months old or a bank statement or a credit card statement or bank reference letter.
3. Source of funds – Bank statement or other acceptable documents evidencing source of funds and Swift copy.

COMPANY

1. Certified Certificate of Incorporation and any Certificate of Change of Name.
2. Constitution or Memorandum and Articles of Association.
3. Proof of registered office / place of business (Utility Bill, Lease Agreement, Business Registration Document).
4. Detailed Company Structure chart.
5. Business Plan or business profile detailing type of activities, sector(s) and geographical area(s) of operations.
6. Register of Members and Directors.
7. Latest Audited Financial Accounts.
8. Passport and Proof of Address of all UBO(s) & shareholder(s) (applicable for a natural person).
9. Passport and Proof of Address of all director(s) (applicable for a natural person).
10. Passport and Proof of Address of authorised person(s) (applicable for a natural person).

TRUSTS

1. Due diligence as per Individuals (above) for all principals of the trust, namely, Trustees, Beneficiaries, Settlor, Protector, Enforcer. Where the beneficiaries are discretionary, the due diligence documents must be submitted upon making a distribution.
2. Certified true copy* of the trust deed or pertinent extract thereof;
3. Certified true copy* of the registration of the trust where applicable;
4. Details of registered office and place of business of the trustee;
5. Latest set of accounts; and
6. For corporate trustees:
 - i. certified true copy* of certificate of incorporation or registration or other document evidencing establishment;
 - ii. certified true copies* of registers of directors and secretaries;
 - iii. certified true copy* of current valid passport of at least two directors as mentioned above for Individuals; and
 - iv. List and signature card of all authorised signatories certified by the company secretary.

For any other structures, please send us an email.

** The certifier can be a lawyer, notary, actuary, an accountant or any person holding a recognized professional qualification. She/He should sign the copy document and clearly indicate name, address and position or capacity on it together with contact details to aid tracing of the certifier.*